

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b> 45D1069736	<b>(X3) Date Survey Completed</b> 09/10/2020
<b>Name of Provider or Supplier</b> Kindred Hospital - Dallas Central	<b>Street Address, City, State</b> 8050 Meadow Road, Dallas, TX	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D0000</b>	<p>Noted deficiencies and plans of correction were discussed with laboratory representatives at the entrance and exit conferences. The laboratory representatives were given an opportunity to provide evidence of compliance with the noted deficiencies, and no such evidence was provided prior to survey exit. The facility was found to be NOT in compliance with the CLIA conditions for specialties /subspecialties surveyed for 42 CFR 493.1421 Testing Personnel (moderate complexity) Note: The CMS-2567 (Statement of Deficiencies) is an official, legal document. All information must remain unchanged except for entering the plan of correction, correction dates, and the signature space. Any discrepancy in the original deficiency citation(s) will be reported to the Dallas Regional Office (RO) for referral to the Office of the Inspector General (OIG) for possible fraud. If information is inadvertently changed by the provider/supplier, the State Survey Agency (SA) should be notified immediately.</p>
<b>D6029</b>	<p><b>LABORATORY DIRECTOR RESPONSIBILITIES</b> CFR(s): 493.1407(e)(11)</p> <p>The laboratory director is responsible for the overall operation and administration of the laboratory, including the employment of personnel who are competent to perform test procedures, and record and report test results promptly, accurate, and proficiently and for assuring compliance with the applicable regulations. (e) The laboratory director must-- (e)(11) Ensure that prior to testing patients' specimens, all personnel have the appropriate education and experience, receive the appropriate training for the type and complexity of the services offered, and have demonstrated that they can perform all testing operations reliably to provide and report accurate results.</p> <p>This STANDARD is not met as evidenced by: Based on review of personnel records and interview with staff, it was revealed the laboratory director failed to ensure all personnel had appropriate education, as evidenced by: 1. The laboratory failed to ensure testing personnel had documentation</p>

of a minimum of high school education to perform moderate complexity testing. Refer to D6065.

**D6046**

**TECHNICAL CONSULTANT RESPONSIBILITIES**  
CFR(s): 493.1413(b)(8)

(b) The technical consultant is responsible for-- (b)(8) Evaluating the competency of all testing personnel and assuring that the staff maintain their competency to perform test procedures and report test results promptly, accurately and proficiently.

This STANDARD is not met as evidenced by:  
Based on a review of the laboratory's submitted Centers for Medicare and Medicaid Services (CMS) 209 form, a review of the laboratory's personnel files, and staff interview, it was revealed the Technical Consultant failed to perform competency assessments on 17 of 17 testing persons for moderately complex testing in 2018, 2019, and 2020. Findings included: 1. A review of the laboratory's submitted Centers for Medicare and Medicaid Services (CMS) 209 form (signed by the laboratory director on 09/11/2020), revealed the laboratory identified 17 testing persons performing moderate complexity testing. 2. A review of the laboratory's personnel records revealed that Testing Person #1 performed competency evaluations for other testing persons. There was no documentation of the technical consultant performing competency assessments for 17 of 17 testing personnel for moderately complex testing for the specialty of Routine Chemistry. 3. In an interview on 09/10/2020 at 1115 hours in the breakroom, the Technical Consultant was asked to provide documentation of performing testing person competency. No documentation was provided. This confirmed the above findings.

**D6063**

**LABORATORY TESTING PERSONNEL**  
CFR(s): 493.1421

The laboratory must have a sufficient number of individuals who meet the qualification requirements of 493.1423, to perform the functions specified in 493.1425 for the volume and complexity of tests performed.

This CONDITION is not met as evidenced by:  
Based on review of the Centers for Medicare and Medicaid Services (CMS) 209 form and a random review of personnel records, the laboratory failed to have documentation that 5 of 7 testing persons met the qualifications required to perform moderate complexity testing. Refer to D6065.

**D6065**

**TESTING PERSONNEL QUALIFICATIONS**  
CFR(s): 493.1423(b)(1)(2)(3)(4)(i)

(b) Meet one of the following requirements: (b)(1) Be a doctor of medicine or doctor of osteopathy licensed to practice medicine or osteopathy in the State in which the laboratory is located or have earned a doctoral, master's, or bachelor's degree in a chemical, physical, biological or clinical laboratory science, or medical technology from an accredited institution; or (b)(2) Have earned an associate degree in a chemical, physical or biological science or medical laboratory technology from an accredited institution; or (b)(3) Be a high school graduate or equivalent and have successfully completed an official military medical laboratory procedures course of at

least 50 weeks duration and have held the military enlisted occupational specialty of Medical Laboratory Specialist (Laboratory Technician); or (b)(4)(i) Have earned a high school diploma or equivalent; and

This STANDARD is not met as evidenced by:

Based on review of the CMS-209 form and a random review of personnel records, the laboratory failed to have documentation that 5 of 7 testing persons met the qualifications required to perform moderate complexity testing. Findings included: 1. Review of the CMS-209 form included Testing Person #1 through Testing Person # 17 listed to perform moderate complexity testing. 2. A random review of testing persons' personnel records revealed the laboratory did not have documentation to ensure the following 5 of 7 testing persons were qualified to perform moderate complexity testing: a. Testing person #1; No education documents provided b. Testing person #2; No education documents provided c. Testing person #3; No education documents provided d. Testing person #10; No education documents provided e. Testing person #11; No education documents provided 3. In an interview on 09/10 /2020 at 1115 hours in the breakroom, the Technical Consultant was asked to provide educational documentation for testing person #1, #2, #3, #10 and #11. No documentation was provided. This confirmed the above findings.