

<b>Statement of Deficiencies</b>	<b>(X1) Provider/Supplier/CLIA Identification Number</b>  45D2000791	<b>(X3) Date Survey Completed</b>  01/19/2022
<b>Name of Provider or Supplier</b>  Ut Physicians - Travis Dermopath Lab	<b>Street Address, City, State</b>  6500 West Loop South #130, Bellaire, TX	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

<b>(X4) ID Prefix Tag</b>	<b>Summary Statement of Deficiencies</b>
<b>D0000</b>	<p>Noted deficiencies and plans of correction were discussed with the laboratory representative(s) at the exit conference. The facility representative(s) were given an opportunity to provide evidence of compliance with the noted deficiencies, and no such evidence was provided prior to survey exit. The facility was found to be in compliance with applicable Conditions of Participation in the CLIA program, and recertification is recommended. Note: The CMS-2567 (Statement of Deficiencies) is an official, legal document. All information must remain unchanged except for entering the plan of correction, correction dates, and the signature space. Any discrepancy in the original deficiency citation(s) will be reported to the Dallas Regional Office (RO) for referral to the Office of the Inspector General (OIG) for possible fraud. If information is inadvertently changed by the provider/supplier, the State Survey Agency (SA) should be notified immediately.</p>
<b>D6128</b>	<p><b>TECHNICAL SUPERVISOR RESPONSIBILITIES</b> CFR(s): 493.1451(b)(9)</p> <p>The technical supervisor is responsible for evaluating and documenting the performance of individuals responsible for high complexity testing at least annually after the first year, unless test methodology or instrumentation changes, in which case, prior to reporting patient test results, the individual's performance must be reevaluated to include the use of the new test methodology or instrumentation.</p> <p>This STANDARD is not met as evidenced by: Based on a review of the laboratory's submitted CMS 209 form, personnel files, and staff interview, it was revealed that the technical supervisor failed to perform a competency assessment on 2 of 5 testing personnel for high complexity testing. Findings include: 1. A review of the laboratory's submitted CMS 209 form (signed by the laboratory director on 1/19/22) revealed the laboratory identified 5 testing personnel performing high complexity testing. 2. A review of the laboratory's personnel records revealed that there was no documentation of the technical</p>

supervisor performing a competency assessment on testing person #2 in 2021 and testing person #4 in 2020. 3. An interview with the laboratory director on 1/19/22 at 9: 20 a.m. in the office, after review of the records, confirmed the above findings.