

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 45D2198255	(X3) Date Survey Completed 06/04/2025
Name of Provider or Supplier Southwest Dermatology And Vein	Street Address, City, State 14008 Shadow Glen Blvd Ste 200, Manor, TX	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D0000	The laboratory was surveyed and found to be in compliance with the Conditions of the CLIA regulations found at 42 CFR 493.1 through 493.1780, and recertification is recommended. Standard level deficiencies were cited.
D5203	<p>SPECIMEN IDENTIFICATION AND INTEGRITY CFR(s): 493.1232</p> <p>The laboratory must establish and follow written policies and procedures that ensure positive identification and optimum integrity of a patient's specimen from the time of collection or receipt of the specimen through completion of testing and reporting of results.</p> <p>This STANDARD is not met as evidenced by: Based on review of the laboratory's policy and procedure, testing logs, patient reports, slides, Mohs maps, and interview, the laboratory failed to ensure positive identification and optimum integrity of patient specimens from the time of collection and receipt of the specimen through completion of testing and reporting of results for one of nine cases reviewed. Finding follow. A. Review of the laboratory's policy and procedure titled Quality Assurance, approved 09/06/2023, under Procedure at 5.2 stated, "Mohs: The specimen arrives in the lab with the properly labeled petri dish (patient name, layer, location), and a Mohs map with the same matching information. Any quality assurance/ quality control issues/ discrepancies will be addressed at this time and documented on the Discrepancy Log. The time the specimen was received is written on the map and the histotechnicians will initial it. After all information has been verified as correct, the specimen is logged in the Mohs Accession Log and assigned as accession number. Logging in the patient includes date, patient name, DOB, MRN, Referral, DX, and location." B. Review of the Mohs log against the patient report showed two different last names for case GM25-043 performed 05/05 /2025. In addition, the Mohs log did not have a DOB or MRN recorded. C. Review of the Mohs slides for case GM25-043 showed the same name as printed on the Mohs</p>

log (incorrect name). D. Review of the Mohs map for case GM25-043 showed the name could have been interpreted as the name entered on the Mohs log and slides. E. Interview with the Laboratory Director on June 4, 2025 at 1110 hours in the office confirmed the findings. KEY:DOB = Date of birth MRN = Medical Record Number

D5805

TEST REPORT
CFR(s): 493.1291(c)

(c) The test report must indicate the following: (c)(1) For positive patient identification, either the patient's name and identification number, or a unique patient identifier and identification number. (c)(2) The name and address of the laboratory location where the test was performed. (c)(3) The test report date. (c)(4) The test performed. (c)(5) Specimen source, when appropriate. (c)(6) The test result and, if applicable, the units of measurement or interpretation, or both. (c)(7) Any information regarding the condition and disposition of specimens that do not meet the laboratory's criteria for acceptability.

This STANDARD is not met as evidenced by:
Based on review of Mohs maps and interview, the laboratory failed to include the correct address of the facility where the Mohs testing was performed for three of nine Mohs maps reviewed. Findings follow. A. Review of nine Mohs maps showed three had the following address for the Mohs testing performed- 4419 Frontier Trail Suite 110, Austin, TX 78745, as listed by date of service and case number: 1. 08/07/2023 GM23-051 2. 02/05/2024 GM24-009 3. 11/04/2024 GM24-082. B. Interview with the Laboratory Director on June 4, 2025 at 1110 hours in the office confirmed the Mohs maps had the address of their other location and spent most of his time at the other location.