

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 45D2230514	(X3) Date Survey Completed 02/17/2026
Name of Provider or Supplier Bayou City Dermatology	Street Address, City, State 3747 Westheimer Road Suite A, Houston, TX	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D0000	The Bayou City Dermatology laboratory was found NOT to be in compliance with the CLIA regulations found at 42 CFR 493 CLIA requirements for laboratories as a result of a recertification survey on 2/17/26. The conditions not met were: D6076 - 42 C.F.R. 493.1441 Condition: Laboratories performing high complexity testing; laboratory director; D6168 - 42 C.F.R. 493.1487 Condition: Laboratories performing high complexity testing; testing personnel;
D5317	<p>SPECIMEN SUBMISSION, HANDLING, AND REFERRAL CFR(s): 493.1242(d)</p> <p>(d) If the laboratory accepts a referral specimen, written instructions must be available to the laboratory's clients and must include, as appropriate, the information specified in paragraphs (a)(1) through (a)(7) of this section.</p> <p>This STANDARD is not met as evidenced by: Based on a review of the laboratory's Client Service Manual, a random review of patient tissue specimen containers, and staff interview, the laboratory failed to follow its Client Service Manual for the labeling of nine of twenty patient tissue specimens reviewed for histopathology testing in January and February 2026. Findings include: 1. A review of the laboratory's Client Service Manual revealed the following: "The objective of this manual is to set guidelines for how specimens should be labeled and transported from each office. These guidelines must be followed to provide the highest quality of service for patient care. - The individual bottles must be labeled with the patient's name, DOB and site of service." 2. A random review of patient tissue specimens from January and February 2026 revealed the following patient's DOB (Date of Birth) were not documented on the containers: a) Patient Accession number: BC26-743 Date specimen was grossed: 1/28/26 b) Patient Accession number: BC26-744 Date specimen was grossed: 1/28/26 c) Patient Accession number: BC26-749 Date specimen was grossed: 1/28/26 d) Patient Accession number: BC26-840 Date specimen was grossed: 2/2/26 e) Patient Accession number: BC26-957 Date</p>

	<p>specimen was grossed: 2/4/26 f) Patient Accession number: BC26-958 Date specimen was grossed: 2/4/26 g) Patient Accession number: BC26-1114 Date specimen was grossed: 2/10/26 h) Patient Accession number: BC26-1172 Date specimen was grossed: 2/12/26 i) Patient Accession number: BC26-1271 Date specimen was grossed: 2/16/26 3. In an interview on 2/17/26 at 10:30 a.m. in the laboratory, after review of the records, the office manager confirmed the above findings.</p>
<p>D6076</p>	<p>LABORATORY DIRECTOR CFR(s): 493.1441</p> <p>The laboratory must have a director who meets the qualification requirements of 493.1443 of this subpart and provides overall management and direction in accordance with 493.1445 of this subpart.</p> <p>This CONDITION is not met as evidenced by: Based on a review of the laboratory's records, the laboratory's personnel records, and staff interview, the laboratory director failed to provide overall management of the laboratory for one of one high complexity test- grossing of patient's tissue specimens in 2024, 2025 and 2026. Findings include: 1. The laboratory director failed to ensure four of ten testing personnel met the educational requirements to perform high complexity testing- grossing of patient's tissue specimens. (Refer to D6102)</p>
<p>D6102</p>	<p>LABORATORY DIRECTOR RESPONSIBILITIES CFR(s): 493.1445(e)(12)</p> <p>(e)(12) Ensure that prior to testing patients specimens, all personnel have the appropriate education and experience, receive the appropriate training for the type and complexity of the services offered, and have demonstrated that they can perform all testing operations reliably to provide and report accurate results;</p> <p>This STANDARD is not met as evidenced by: Based on a review of the laboratory's submitted CMS 209 form, the laboratory's personnel records, a random review of patient test records, and staff interview, the laboratory director failed to ensure four of ten testing personnel met the educational requirements to perform high complexity testing- grossing of patient's tissue specimens in 2024, 2025 and 2026. Findings include: 1. A review of the laboratory's submitted CMS-209 form listed 10 testing personnel performing high complexity testing- grossing of patient's tissue specimens. 2. A review of the laboratory's personnel records revealed the laboratory failed to provide educational documentation demonstrating compliance with the requirements at 493.1487 to qualify them to perform grossing: - Testing person #1 Hired 6/6/25 - Testing person #6 Hired 6/11/24 - Testing person #8 Hired 9/30/24 - Testing person #10 Hired 7/24/24 3. In an interview on 2/17/26 at 10:30 a.m. in the laboratory, after review of the records, the office manager confirmed the above findings.</p>
<p>D6168</p>	<p>TESTING PERSONNEL CFR(s): 493.1487</p> <p>The laboratory has a sufficient number of individuals who meet the qualification requirements of 493.1489 of this subpart to perform the functions specified in 493.1495 of this subpart for the volume and complexity of testing performed.</p>

This CONDITION is not met as evidenced by:
Based on a review of the laboratory's submitted CMS 209 form, the laboratory's personnel records, and staff interview, the laboratory failed to ensure four of ten testing personnel met the educational requirements to perform high complexity testing- grossing of patient's tissue specimens in 2024, 2025 and 2026. (Refer to D6171) * This is a repeat deficiency from the survey on 1/29/24.

D6171

TESTING PERSONNEL QUALIFICATIONS
CFR(s): 493.1489(b)

(b) Meet one of the following requirements: (b)(1) Be a doctor of medicine, doctor of osteopathy, or doctor of podiatric medicine licensed to practice medicine, osteopathy, or podiatry in the State in which the laboratory is located; or (b)(2)(i) Have earned a doctoral, master's, or bachelor's degree in a chemical, biological, clinical or medical laboratory science, or medical technology from an accredited institution; or (b)(2)(ii) Be qualified under the requirements of 493.1443(b)(3) or 493.1449(c)(4) or (5); or (b)(3)(i) Have earned an associate degree in a laboratory science or medical laboratory technology from an accredited institution or (b)(3)(ii) Have education and training equivalent to that specified in paragraph (b)(2)(i) of this section that includes (b)(3)(ii)(A) (A) At least 60 semester hours, or equivalent, from an accredited institution that, at a minimum, includes either (b)(3)(ii)(A)(1) 24 semester hours of medical laboratory technology courses; or (b)(3)(ii)(A)(2) 24 semester hours of science courses that include (b)(3)(ii)(A)(2)(i) 6 semester hours of chemistry; (b)(3)(ii)(A)(2)(ii) 6 semester hours of biology; and (b)(3)(ii)(A)(2)(iii) 12 semester hours of chemistry, biology, or medical laboratory technology in any combination; and (b)(3)(ii)(B) Have laboratory training that includes: (b)(3)(ii)(B)(1) Completion of a clinical laboratory training program approved or accredited by the ABHES or the CAAHEP (this training may be included in the 60 semester hours listed in paragraph (b)(3)(ii)(A) of this section); or (b)(3)(ii)(B)(2) At least 3 months documented laboratory training in each specialty in which the individual performs high complexity testing; or (b)(4) Successful completion of an official U.S. military medical laboratory procedures training course of at least 50 weeks duration and having held the military enlisted occupational specialty of Medical Laboratory Specialist (Laboratory Technician); or (b)(5) Notwithstanding any other provision of this section, an individual is considered qualified as a high complexity testing personnel under this section if they were qualified and serving as a high complexity testing personnel in a CLIA-certified laboratory as of December 28, 2024, and have done so continuously since December 28, 2024. (b)(6) For blood gas analysis (b)(6)(i) Be qualified under paragraph (b)(1), (2), (3), (4), or (5) of this section; or (b)(6)(ii) Have earned a bachelor's degree in respiratory therapy or cardiovascular technology from an accredited institution; or (b)(6)(iii) Have earned an associate degree related to pulmonary function from an accredited institution. (b)(7) For histopathology, meet the qualifications of 493.1449 (b) or (f) to perform tissue examinations.

This STANDARD is not met as evidenced by:
Based on a review of the laboratory's submitted CMS 209 form, the laboratory's personnel records, a random review of patient test records, and staff interview, the laboratory failed to ensure four of ten testing personnel met the educational requirements to perform high complexity testing- grossing of patient's tissue specimens in 2024, 2025 and 2026. Findings include: 1. A review of the laboratory's

submitted CMS-209 form listed 10 testing personnel performing high complexity testing- grossing of patient's tissue specimens. 2. A review of the laboratory's personnel records revealed the laboratory failed to provide educational documentation demonstrating compliance with the requirements at 493.1487 to qualify them to perform grossing: - Testing person #1 Hired 6/6/25 - Testing person #6 Hired 6/11/24 - Testing person #8 Hired 9/30/24 - Testing person #10 Hired 7/24/24 3. A random review of patient test records revealed the following patient's tissue specimens were grossed by the testing personnel listed above: a) Patients grossed by Testing person #1 Accession #: BC25-8744 Reported: 10/25/25 Accession #: BC25-8845 Reported: 10/30/25 Accession #: BC25-10477 Reported: 12/12/25 Accession #: BC26-63 Reported: 1/9/26 Accession #: BC26-185 Reported: 1/18/26 Accession #: BC26-563 Reported: 1/26/26 b) Patients grossed by Testing person #6 Accession #: BC24-6450 Reported: 9/15/24 Accession #: BC24-6463 Reported: 9/16/24 Accession #: BC24-7635 Reported: 10/23/24 Accession #: BC24-8891 Reported: 12/11/24 Accession #: BC24-9308 Reported: 12/29/24 c) Patients grossed by Testing person #8 Accession #: BC25-982 Reported: 2/12/25 Accession #: BC25-4453 Reported: 6/11/25 d) Patients grossed by Testing person #10 Accession #: BC24-5777 Reported: 8/17/24 Accession #: BC24-5996 Reported: 8/25/24 Accession #: BC24-7658 Reported: 10/23/24 Accession #: BC25-1369 Reported: 2/8/25 Accession #: BC25-1115 Reported: 2/18/25 4. In an interview on 2/17/26 at 10:30 a.m. in the laboratory, after review of the records, the office manager confirmed the above findings. * This is a repeat deficiency from the survey on 1/29/24.