

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 52D0388536	(X3) Date Survey Completed 07/19/2021
Name of Provider or Supplier Richard E Neils Md	Street Address, City, State 888 Thackeray Trail Ste 212, Oconomowoc, WI	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D6094	<p>LABORATORY DIRECTOR RESPONSIBILITIES CFR(s): 493.1445(e)(5)</p> <p>The laboratory director must ensure that the quality assessment programs are established and maintained to assure the quality of laboratory services provided and to identify failures in quality as they occur.</p> <p>This STANDARD is not met as evidenced by: Based on surveyor review of laboratory records and interview with office manager, staff A, the laboratory director did not maintain the quality assessment program to ensure the quality of laboratory services were assessed to identify failures in quality that require corrective action for three of three accuracy checks in 2020 and 2021. Findings include: 1. Review of "Confidential Peer Review Document Quality Control Evaluation/Slide Examination" forms for 2020 and 2021 showed no documentation of review by the laboratory director. 2. Interview with staff A on July 19, 2021 at 9:40 AM confirmed the laboratory director did not maintain the quality assessment program to ensure the quality of the laboratory services were assessed to identify any failures in quality that require corrective action for three of three accuracy checks in 2020 and 2021.</p>