

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 52D0983990	(X3) Date Survey Completed 01/05/2021
Name of Provider or Supplier Orthopaedic Hospital Of Wisconsin, Llc	Street Address, City, State 475 W River Woods Pkwy, Glendale, WI	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D5805	<p>TEST REPORT CFR(s): 493.1291(c)</p> <p>The test report must indicate the following: (c)(1) For positive patient identification, either the patient's name and identification number, or a unique patient identifier and identification number. (c)(2) The name and address of the laboratory location where the test was performed. (c)(3) The test report date. (c)(4) The test performed. (c)(5) Specimen source, when appropriate. (c)(6) The test result and, if applicable, the units of measurement or interpretation, or both. (c)(7) Any information regarding the condition and disposition of specimens that do not meet the laboratory's criteria for acceptability.</p> <p>This STANDARD is not met as evidenced by: Based on surveyor review of test reports and interview with a technical consultant, the test report for one of one frozen section procedures performed since the last survey did not indicate the name and address of the laboratory locations where testing was performed. Findings include: 1. Review of the anatomic pathology test report for Patient 1 showed completion of a frozen section procedure on November 12, 2019. The report does not indicate the location of testing for the Gross Description, Frozen Section Diagnosis, or the Microscopic Description sections of the report. 2. Interview with a technical consultant, Staff C, on January 5, 2021 at 13:20 PM confirmed the laboratory director performed the frozen section analysis in this laboratory and that a reference laboratory performed additional testing. Further interview confirmed the report did not indicate the name and address of the performing laboratories. This is a repeat deficiency previously cited on March 21, 2011.</p>
D6053	<p>TECHNICAL CONSULTANT RESPONSIBILITIES CFR(s): 493.1413(b)(9)</p> <p>The technical consultant is responsible for evaluating and documenting the</p>

performance of individuals responsible for moderate complexity testing at least semiannually during the first year the individual tests patient specimens.

This STANDARD is not met as evidenced by:

Based on surveyor review of personnel records and interview with a technical consultant, a technical consultant did not evaluate and document the performance of one of one new testing personnel at least semiannually during the first year the individual tested patient specimens. Findings include: 1. Review of personnel records showed Staff A was the only new testing personnel that had tested patient specimens for more than one year. Review of records for Staff A showed only one competency evaluation for moderate complexity test systems during 2020. No records of competency evaluation in 2019 are present. 2. Interview with a technical consultant, Staff B, on January 5, 2021 at 12:00 PM revealed Staff A started in December 2019 and confirmed a technical consultant did not complete competence evaluation for Staff A twice annually during the first year Staff A tested patient specimens.