

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 53D2106190	(X3) Date Survey Completed 08/02/2019
Name of Provider or Supplier Aspen Mountain Medical Center	Street Address, City, State 4401 College Drive, Rock Springs, WY	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D6063	<p>LABORATORY TESTING PERSONNEL CFR(s): 493.1421</p> <p>The laboratory must have a sufficient number of individuals who meet the qualification requirements of 493.1423, to perform the functions specified in 493.1425 for the volume and complexity of tests performed.</p> <p>This CONDITION is not met as evidenced by: Based on patient test records review, education documentation reviewed, and interview with the laboratory manager, 1 of 3 testing personnel (test person B) failed to qualify to be a high complexity testing person performing compatibility testing. (See D6065.)</p>
D6065	<p>TESTING PERSONNEL QUALIFICATIONS CFR(s): 493.1423(b)(1)(2)(3)(4)(i)</p> <p>(b) Meet one of the following requirements: (b)(1) Be a doctor of medicine or doctor of osteopathy licensed to practice medicine or osteopathy in the State in which the laboratory is located or have earned a doctoral, master's, or bachelor's degree in a chemical, physical, biological or clinical laboratory science, or medical technology from an accredited institution; or (b)(2) Have earned an associate degree in a chemical, physical or biological science or medical laboratory technology from an accredited institution; or (b)(3) Be a high school graduate or equivalent and have successfully completed an official military medical laboratory procedures course of at least 50 weeks duration and have held the military enlisted occupational specialty of Medical Laboratory Specialist (Laboratory Technician); or (b)(4)(i) Have earned a high school diploma or equivalent; and</p> <p>This STANDARD is not met as evidenced by:</p>

Based on education records review, patient test records review, and interview with the laboratory manager, 1 of 3 testing personnel failed to qualify as a high complexity testing person performing compatibility testing for July 1 to August 2, 2019. Findings include: 1. Personnel education qualification records review lacked documentation test person B held an Associates, Bachelors, Masters or Doctorate degree in a chemical, physical, or biological science or medical laboratory technology, or was licensed as a physician, or had 50 weeks of military training as a Medical Laboratory Specialist. 2. A. Patient test records review included documentation test person B performed ABO group, Rh type, antibody screen and compatibility testing on 08/02/2019 for patient #25361; 08/01/2019 for patient #24283; and on 07/24/2019 for patient #24438. B. Patient test records review included documentation test person B performed ABO Group, Rh type, and antibody screen as a precaution for patients that were scheduled for surgery. Test person B performed ABO, Rh and Antibody screens for patient specimens pending a compatibility test request prior to surgical procedures on 07/24/2019 for one patient's sample, 07/18/2019 for four patient's samples, 07/17/2019 for three patients samples, 07/10/2019 for one for one patient's sample, and 07/08/2019 for one patient's sample. 3. In an interview conducted on 08/02/2019 at approximately 11:00 A.M., the laboratory manager confirmed test person B performed the pre-transfusion ABO, Rh, and Antibody screening.

D6076

LABORATORY DIRECTOR
CFR(s): 493.1441

The laboratory must have a director who meets the qualification requirements of 493.1443 of this subpart and provides overall management and direction in accordance with 493.1445 of this subpart.

This CONDITION is not met as evidenced by:
Based on an on-site survey, the director failed to ensure 1 of 3 testing personnel (test person B) qualified to be a high complexity testing person performing compatibility testing. (See D6102.)

D6102

LABORATORY DIRECTOR RESPONSIBILITIES
CFR(s): 493.1445(e)(12)

The laboratory director must ensure that prior to testing patients' specimens, all personnel have the appropriate education and experience, receive the appropriate training for the type and complexity of the services offered, and have demonstrated that they can perform all testing operations reliably to provide and report accurate results.

This STANDARD is not met as evidenced by:
Based on personnel education records review, lack of documentation, patient testing records review, and interview with staff, the director failed to ensure all personnel had the appropriate education and experience prior to testing compatibility test results for 1 of 3 testing personnel (test person B) for one month of test records reviewed, (July 2019). Findings include: 1. Personnel records review failed to include documentation test person B held, at a minimum, an associate's degree in a chemical, physical, biological science or medical laboratory technology to qualify as a high complexity testing person. 2. A. Patient test records review included documentation test person B performed high complexity ABO, Rh, Antibody screens, and blood compatibility

testing on 08/02/2019, 08/01/2019, and 07/24/2019. B. Test person B performed ABO, Rh and Antibody screens for patient specimens pending a compatibility test request prior to surgical procedures on 07/24/2019 for one patient's sample, 07/18/2019 for four patient's samples, 07/17/2019 for three patient's samples, 07/10/2019 for one for one patient's sample, and 07/08/2019 for one patient's sample. 3. In an interview conducted on 08/02/2019 at approximately 11:00 A.M., staff confirmed test person B performed ABO, Rh, Antibody Screens, and compatibility testing without having the required education documentation.