

Statement of Deficiencies	(X1) Provider/Supplier/CLIA Identification Number 53D2133127	(X3) Date Survey Completed 07/31/2019
Name of Provider or Supplier Banner Health Physicians West Llc	Street Address, City, State 625 Albany Ave, Torrington, WY	
For information on the provider's plan to correct this deficiency, please contact the provider or the state survey agency.		

(X4) ID Prefix Tag	Summary Statement of Deficiencies
D3037	<p>RETENTION REQUIREMENTS CFR(s): 493.1105(a)(4)</p> <p>Proficiency testing records. Retain all proficiency testing records for at least 2 years.</p> <p>This STANDARD is not met as evidenced by: Based on lack of documentation, proficiency testing records review, and interview with staff, the laboratory failed to retain proficiency testing intermediate work sheets used by the laboratory to record the microscopic test results prior to entering the test results into the agency report form for 6 of 6 proficiency testing events reviewed. Findings include: 1. American Academy of Family Physicians (AAFP) proficiency testing records review lacked the intermediate work sheets testing personnel used to record results for proficiency testing events C of 2017; A, B, and C of 2018; and for events A and B of 2019 prior to entering the results into the agency report form for final submission to the AAFP proficiency testing agency. 2. In an interview conducted on 07/31/2019 at approximately 11:45 A.M. staff stated the laboratory recorded the intermediate test results on sticky notes or scrap paper prior to entering the results into the agency report form. Staff stated the intermediate paper was not retained after results were submitted to the proficiency testing agency.</p>
D5211	<p>EVALUATION OF PROFICIENCY TESTING PERFORMANCE CFR(s): 493.1236(a)</p> <p>The laboratory must review and evaluate the results obtained on proficiency testing performed as specified in subpart H of this part.</p> <p>This STANDARD is not met as evidenced by: Based on proficiency testing records review and interview with staff, the laboratory lacked documentation 5 of 6 proficiency testing events reviewed were reviewed by the</p>

director/technical consultant to evaluate the laboratory's performance for testing microscopic analysis of potassium hydroxide (KOH) preparations for vaginal and skin scraping specimens, vaginal saline wet mounts, and urinalysis specimens. Findings include: 1. Proficiency testing records review for American Association of Family Practice proficiency testing events C of 2017, A, B, and C of 2018 and A of 2019 lacked documentation proficiency test results were reviewed to evaluate the laboratory's performance for each testing event. 2. In an interview conducted on 07/31/2019 at approximately 11:40 A.M. the laboratory testing staff person confirmed the laboratory failed to document they reviewed proficiency test results for microscopic testing for KOH preparations, wet mounts and microscopic urinalysis.

D5433

MAINTENANCE AND FUNCTION CHECKS
CFR(s): 493.1254(b)(1)

For equipment, instruments, or test systems developed in-house, commercially available and modified by the laboratory, or maintenance and function check protocols are not provided by the manufacturer, the laboratory must establish a maintenance protocol that ensures equipment, instrument, and test system performance that is necessary for accurate and reliable test results and test result reporting. The laboratory must perform and document the maintenance activities specified in paragraph (b)(1)(i) of this section.

This STANDARD is not met as evidenced by:
Based on centrifuge maintenance records review, urinalysis procedure review, and interview with staff, the laboratory failed to perform and document they performed urinalysis centrifuge revolutions per minute (rpm) and timer check maintenance activities. Findings include: 1. Centrifuge maintenance record review dated 02/25/2019 failed to include an rpm and timer check. 2. Urinalysis procedure review included the instructions that urine specimens were to be centrifuged for 3 to 5 minutes at 3381 rpm. 3. In an interview with staff on 07/31/2019 at approximately 11:00 A.M. staff confirmed the maintenance reports failed to include the rpm and timer checks to ensure microscopic urinalysis were performed by the procedure specifications.